



Working with Investment Professionals in Idaho

March 29, 2017

Resources

1. Great website that has a wealth of information about choosing an investment professional:
www.finra.org/investors/choosing-investment-professional
2. Tips to help ensure you have a productive relationship with your investment professional:
www.finra.org/investors/working-your-investment-professional
3. BrokerCheck is a free tool to research the background and experience of financial brokers, advisers and firms:
brokercheck.finra.org/
4. Where do I start? How to find and work with an investment professional.
www.finra.org/investors/where-do-i-start
5. University of Idaho Extension Retirement Ready publications:
 - a. Handout 5-1: Selecting a Financial Advisor:
www.msuextension.org/solidfinances/documents/RR5handout1.pdf
 - b. Handout 5-2: Selecting a Financial Advisor: A Guide to Financial Credentials
www.msuextension.org/solidfinances/documents/RR5handout2.pdf
 - c. Handout 5-3: Who is a Fiduciary?
www.msuextension.org/solidfinances/documents/RR5handout3.pdf
 - d. Worksheet 5-1: Interview Questions for selecting a Financial Advisor
www.msuextension.org/solidfinances/documents/RR5worksheet1.pdf
6. White House Fact Sheet: Strengthening Retirement Security by Cracking Down on Conflicts of Interest in Retirement Savings:
www.dol.gov/newsroom/releases/ebsa/ebsa20160406-0